Doc Code:

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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		Application Number	09/476,689			
TRANSMITTAL		Filing Date	December 30, 1999			
FORM		First Named Inventor	Kent C. B. Stalker			
		Art Unit	3764			
(to be used for all correspondence after initial fil	ling)	Examiner Name	Maiorino, Roz			
Total Number of Pages in This Submission 7		Attorney Docket Number	ACSES-52008 (1816P)			

(to be used for a	all correspondence after	initial filing)	Examine Name		Maiorino, Roz				
Total Number of P	ages in This Submission	7	Attorney Docket Nu	ımber	ACSES-52008 (1816P)				
ENCLOSURES (Check all that apply)									
Extension of T  Express Aban  Information Di  Certified Cop	tached  Reply  Final  vits/declaration(s)  Fime Request  donment Request  isclosure Statement  y of Priority	Petition Petition to Provision Power of Change of Terminal Request CD, Nun	<u> </u>	dress	After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  Return postcard				
Incomplete A	Missing Parts/	Remarks							
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Firm Name	FULWIDER PATTO	N LEE & UTECI	HT, LLP						
Signature	Thus p	you							
Printed name	Thomas H. Majcher	V							
Date		Reg. No.		31,119					
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class main an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:									
Signature (AM) A Y L									
Typed or printed nan	ne Thomas H. Ma	jcher //		Date	May 19, 2005				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Thomas H. Majcher, Reg. No 31,119

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.

: 09/476,689

**Applicant** 

: Kent C.B. Stalker

Filed

: December 30, 1999

Title

DEVICE FOR, AND METHOD OF, BLOCKING EMBOLI

IN VESSELS SUCH AS BLOOD ARTERIES

Art Unit

: 3764

Examiner

: Maiorino, Roz

Docket No.:

: ACSES 52008 (1816P)

Customer No.

: 24201

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure and 37 CFR § 1.9 7(c)(2), enclosed is Form PTO/SB/08B, listing two (2) references which may be material to the patentability of the invention. The Examiner is respectfully requested to consider and cite the references. It is additionally requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08B with initials and/or other appropriate marks.

This statement is not a representation that all of the information cited is necessarily effective as prior art against the present application or that a prior art search was performed.

Copies of U.S. patent references are omitted pursuant to 1287 Off. Gaz. Pat. Off. 163, 10/19/2004: "Section 1.98(a)(2)(i) is amended to eliminate the requirement in paragraph (a)(2)(i) for a copy of each U.S. patent or U.S. patent application publication listed in an IDS in a patent application regardless of the filing date of the application."

Because this Information Disclosure Statement is filed prior to issuance of a first Office action following the filing of a Request for Continued Examination, no fee is required. The Commissioner is authorized, however, to charge any fees that may be required by this paper to Deposit Account No. 06-2425. A duplicate copy of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

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18						Complete if Known							
Substitution 249A/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)						Application Number 09/476,689							
						Filing Date			December 30, 1999				
						First Named Inventor			Kent C. B. Stalker				
						Art Unit		3763					
						Examiner Name		Maiorino, Roz					
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.